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Atty Docket No. 021911-000510US

PTO FAX NO.: 1-571-273-8300

ATTENTION:

Examiner Marianne NMN Dibrino

Group Art Unit 1644

# OFFICIAL COMMUNICATION

# FOR THE PERSONAL ATTENTION OF

### **EXAMINER Marianne NMN Dibrino**

#### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents in re Application of Miles W. Carroll, et al., Application No. 10/774,176, filed February 6, 2004 for POLYPEPTIDE are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

#### Documents Attached

1. Form PTO/SB/21

2. Correction of Error in Small Entity Status Under 37 CFR 1.28(c)

Number of pages being transmitted, including this page: 5

Dated: March 20, 2007

Timothy S. Parker

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (858) 350-6111

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, CA 94111-3834 Telephone: 858-350-6100

Fax: 415-576-0300

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			PTO/SB/21 (07-06)			
		Application Number	10/774,176			
TRANSMITTAL FORM		Filing Date	February 6, 2004			
		First Named Inventor	Carroll, Miles W.			
		Art Unit	1644			
(to be used for all correspondence after initial filing)		Examiner Name	Marianne NMN Dibrino			
Total Number of Pages in This Submission 4		Attorney Docket Number	021911-000510U\$			
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ENCLOSURES (Check all that apply)						
Fee Transmittal	Form	Drawing(s)	After Allowance Communication to TC			
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply		Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Fir		Petition to Convert to a Provisional Application	Proprietary Information			
Affidavit	s/declaration(s)	Power of Attorney, Revocation				
		Change of Correspondence Add	Other Enclosure(s) (please identify			
Extension of Time Request		Terminal Disclaimer	below):  Correction of Error in Small Entity Status			
Express Abandonment Request		Request for Refund	Under 37 CFR 1.28(c)			
Information Disc	dosure Statement	CD, Number of CD(s)				
Landscape Table on CD						
	Certified Copy of Priority  Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.					
,	Document(s) Account 20-1430.					
Reply to Missing Application	Parts/ Incompleté					
	Missing Parts 7 CFR 1.52 or 1.53					
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Signature						
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Kar	Printed name Kawai Lau					
Date March 20, 2007		Reg. No. 44,461				
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	CERTIF	TOATE OF TRANSMISSIO				
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. 1-571-273-8300 on March 20, 2007.						
Cianatus						
Signature		unothy J. Parlin				
Typed or printed name	Timothy S. Parker		Date March 20, 2007			
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Fax No. 1-571-273-8300 on March 20, 2007.

Aπorney Docket No.: 021911-000510US

Client Ref. No.: OBM30DIV1

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Miles W. Carroll, et al.

Application No.: 10/774,176

Filed: February 6, 2004

For: POLYPEPTIDE

Customer No.: 20350

Confirmation No. 7186

Examiner:

Marianne Dibrino

Technology Center/Art Unit: 1644

CORRECTION OF ERROR IN SMALL ENTITY STATUS UNDER 37 C.F.R. §

1.28(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As set forth at 37 C.F.R. § 1.28(c), Applicants respectfully submit that small entity status in this application was established in good faith upon the filing of the instant application, but it has now been discovered that the status was in error. Thus compliance with 37 C.F.R. § 1.28(c)(1) and 37 C.F.R. § 1.28(c)(2) is provided below to correct the error.

By way of background, the undersigned filed the instant application on February 6, 2004 with payment of small entity fees based upon information and belief that small entity status was applicable in the instant application. On February 13, 2007, the undersigned learned that small entity status was not applicable at the time of filing and that correction was required. The instant submission is thus believed to be timely filed.

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Appl. No. 10/774,176
CORRECTION OF ERROR IN SMALL ENTITY
STATUS UNDER 37 C.F.R. § 1.28(c) dated March 20,

**PATENT** 

#### 37 C.F.R. § 1.28(c)(1)

This submission is limited to the deficiencies in payment of the instant application.

#### 37 C.F.R. § 1.28(c)(2)

The table below calculates the deficiency owed for each previous fee erroneously paid as a small entity. Included below are the itemization of each fee type, the small entity fee actually paid, the deficiency owed, and the total deficiency payment owed.

Fee Type and Payment Date	Small Entity Fee	Current Fee	Deficiency
	Paid	Amount	
Filing Fee (February 6, 2004)	385	7901	405
Excess Claims Fees (February	1172	650 <sup>3</sup>	533
6, 2004)	·		
			Total: \$938

Applicants believe that the above Total Deficiency (\$938) is the total payment owed and now enclosed herewith.

In the event that the amount shown above is still deficient, the Commissioner is authorized to charge any additional fees needed to remedy the deficiency to Deposit Account 20-1430 referencing 021911000510.

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<sup>&</sup>lt;sup>1</sup> Based on current fee requirements under 37 C.F.R. 1.16(a)(2), this amount is as follows: \$790 large entity filing fee

Based on PTO records, this amount is as follows: \$117 total chargeable claims fee (based on 13 excess claims at \$9 each).

Based on current fee requirements under 37 C.F.R. 1.16(i), this amount is as follows: \$650 total chargeable claims fee (based on 13 excess claims at \$50 each).

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Appl. No. 10/774,176
CORRECTION OF ERROR IN SMALL ENTITY
STATUS UNDER 37 C.F.R. § 1.28(e) dated March 19,
2007

PATENT

If a telephone conference would expedite the handling of this application, please telephone the undersigned at 858-350-6151.

Respectfully submitted,

Kawai Lau, Ph.D. Reg. No. 44,461

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 858-350-6100

Fax: 415-576-0300

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